



An Anthem Company

Clinical Utilization Management Guidelines

Attached is a list of the *Clinical UM Guidelines* the health plan has adopted.

The full list of *Medical Policies* and *Clinical Utilization Management (UM) Guidelines* are publicly available on the [Medical Policy and Clinical UM Guideline subsidiary website](#). Their purpose is to help you provide quality care by reducing inappropriate use of medical resources.

MCG[®] care guidelines are used for:

- Medical necessity review for medical and behavioral health inpatient review.
- Inpatient site of service appropriateness.
- Inpatient rehabilitation and skilled nursing facility review.
- Outpatient based service or procedure where there is not an established medical policy or clinical UM guideline.

In addition, Level of Care for Alcohol and Drug Treatment Referral 3.0[®] is used for substance use services according to state requirements.

Medicaid state contracts, regulatory guidance, CMS requirements and our *Medical Policy/Clinical UM Guidelines* supersede MCG care guidelines.

Note: We make determinations of medical necessity on a case-by-case basis in accordance with the definition of medical necessity that is contained within the Medicaid state contract, regulatory guidance, CMS requirements or in our *Medical Necessity Criteria Policy ADMIN.0004*.

If the request does not meet established criteria guidelines, it will be referred to the licensed physician reviewer with the appropriate clinical expertise to make a decision.

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Clinical Utilization Management Guidelines

The new *Clinical Utilization Management (UM) Guidelines* below were adopted by the medical operations committee for Empire BlueCross BlueShield HealthPlus members on June 25, 2020.

To view a guideline, visit https://www11.empireblue.com/ny_search.html.

<i>Clinical UM Guideline number</i>	<i>Clinical UM Guideline title</i>	<i>New item</i>
CG-ADMIN-01	<i>Clinical UM Guideline for Pre-Payment Review Medical Necessity Determinations When No Other Clinical UM Guideline Exists</i>	
CG-ANC-03	Acupuncture	
CG-ANC-04	Ambulance Services: Air and Water	
CG-ANC-05	Ambulance Services: Ground; Emergent	
CG-ANC-06	Ambulance Services: Ground; Nonemergent	
CG-ANC-07	Inpatient Interfacility Transfers	
CG-ANC-08	Mobile Device-Based Health Management Applications	NEW
CG-BEH-01	Assessment for Autism Spectrum Disorders and Rett Syndrome	
CG-BEH-02	Adaptive Behavioral Treatment for Autism Spectrum Disorder	
CG-BEH-14	Intensive In-Home Behavioral Health Services	
CG-BEH-15	Activity Therapy for Autism Spectrum Disorders and Rett Syndrome	
CG-DME-03	Neuromuscular Stimulation in the Treatment of Muscle Atrophy	
CG-DME-04	Electrical Nerve Stimulation, Transcutaneous, Percutaneous	
CG-DME-05	Cervical Traction Devices for Home Use	
CG-DME-06	Pneumatic Compression Devices for Lymphedema	
CG-DME-07	Augmentative and Alternative Communication (AAC) Devices with Digitized or Synthesized Speech Output	
CG-DME-08	Infant Home Apnea Monitors	
CG-DME-09	Continuous Local Delivery of Analgesia to Operative Sites using an Elastomeric Infusion Pump During the Postoperative Period	
CG-DME-10	Durable Medical Equipment	
CG-DME-12	Home Phototherapy Devices for Neonatal Hyperbilirubinemia	
CG-DME-13	Lower Limb Prosthesis	
CG-DME-15	Hospital Beds and Accessories	
CG-DME-16	Pressure Reducing Support Systems Groups 1, 2 and 3	
CG-DME-18	Home Oxygen Therapy	

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<i>Clinical UM Guideline number</i>	<i>Clinical UM Guideline title</i>	<i>New item</i>
CG-DME-19	Therapeutic Shoes, Inserts or Modifications for Individuals with Diabetes	
CG-DME-20	Orthopedic Footwear	
CG-DME-21	External Infusion Pumps for the Administration of Drugs in the Home or Residential Care Settings	
CG-DME-23	Lifting Devices for Use in the Home	
CG-DME-24	Wheeled Mobility Devices: Manual Wheelchairs — Standard, Heavy Duty and Lightweight	
CG-DME-25	Seat Lift Mechanisms	
CG-DME-26	Back-Up Ventilators in the Home Setting	
CG-DME-30	Prothrombin Time Self-Monitoring Devices	
CG-DME-31	Wheeled Mobility Devices: Wheelchairs — Powered, Motorized, With or Without Power Seating Systems, and Power Operated Vehicles (POVs)	
CG-DME-33	Wheeled Mobility Devices: Manual Wheelchairs — Ultra Lightweight	
CG-DME-34	Wheeled Mobility Devices: Wheelchair Accessories	
CG-DME-35	Electric Breast Pumps	
CG-DME-36	Pediatric Gait Trainers	
CG-DME-37	Air Conduction Hearing Aids	
CG-DME-39	Dynamic Low-Load Prolonged-Duration Stretch Devices	
CG-DME-40	Noninvasive Electrical Bone Growth Stimulation of the Appendicular Skeleton	
CG-DME-41	Ultraviolet Light Therapy Delivery Devices for Home Use	
CG-DME-42	Nonimplantable Insulin Infusion and Blood Glucose Monitoring Devices	
CG-DME-43	High Frequency Chest Compression Devices for Airway Clearance	
CG-DME-44	Electric Tumor Treatment Field (TTF)	
CG-DME-45	Ultrasound Bone Growth Stimulation	
CG-DME-46	Pneumatic Compression Devices for Prevention of Deep Vein Thrombosis of the Extremities in the Home Setting	
CG-DME-47	Noninvasive Home Ventilator Therapy for Respiratory Failure	
CG-GENE-01	Janus Kinase 2, CALR and MPL Gene Mutation Assays	
CG-GENE-02	Analysis of RAS Status	
CG-GENE-03	BRAF Mutation Analysis	
CG-GENE-04	Molecular Marker Evaluation of Thyroid Nodules	
CG-GENE-05	Genetic Testing for DMD Mutations (Duchenne or Becker Muscular Dystrophy)	
CG-GENE-07	BCR-ABL Mutation Analysis	
CG-GENE-08	Genetic Testing for PTEN Hamartoma Tumor Syndrome	
CG-GENE-09	Genetic Testing for CHARGE Syndrome	

<i>Clinical UM Guideline number</i>	<i>Clinical UM Guideline title</i>	<i>New item</i>
CG-GENE-10	Chromosomal Microarray Analysis (CMA) for Developmental Delay, Autism Spectrum Disorder, Intellectual Disability (Intellectual Developmental Disorder) and Congenital Anomalies	
CG-GENE-11	Genotype Testing for Individual Genetic Polymorphisms to Determine Drug-Metabolizer Status	
CG-GENE-12	PIK3CA Mutation Testing for Malignant Conditions	
CG-GENE-13	Genetic Testing for Inherited Diseases	
CG-GENE-14	Gene Mutation Testing for Solid Tumor Cancer Susceptibility and Management	
CG-GENE-15	Genetic Testing for Lynch Syndrome, Familial Adenomatous Polyposis (FAP), Attenuated FAP and MYH-associated Polyposis	
CG-GENE-16	BRCA Testing for Breast and/or Ovarian Cancer Syndrome	
CG-GENE-17	RET Proto-oncogene Testing for Endocrine Gland Cancer Susceptibility	
CG-GENE-18	Genetic Testing for TP53 Mutations	
CG-GENE-19	Detection and Quantification of Tumor DNA Using Next Generation Sequencing in Lymphoid Cancers	
CG-GENE-20	Epidermal Growth Factor Receptor (EGFR) Testing	
CG-LAB-03	Tropism Testing for HIV Management	
CG-LAB-09	Drug Testing or Screening in the Context of Substance Use Disorder and Chronic Pain	
CG-LAB-10	Zika Virus Testing	
CG-LAB-11	Screening for Vitamin D Deficiency in Average Risk Individuals	
CG-LAB-12	Testing for Oral and Esophageal Cancer	
CG-LAB-13	Skin Nerve Fiber Density Testing	
CG-LAB-14	Respiratory Viral Panel Testing in the Outpatient Setting	
CG-MED-02	Esophageal pH Monitoring	
CG-MED-05	Ketogenic Diet for Treatment of Intractable Seizures	
CG-MED-08	Home Enteral Nutrition	
CG-MED-19	Custodial Care	
CG-MED-21	Anesthesia Services and Moderate ("Conscious") Sedation	
CG-MED-23	Home Health	
CG-MED-24	Electromyography and Nerve Conduction Studies	
CG-MED-26	Neonatal Levels of Care	
CG-MED-28	Iontophoresis for Medical Indications	
CG-MED-32	Ancillary Services for Pregnancy Complications	
CG-MED-34	Monitored Anesthesia Care for Gastrointestinal Endoscopic Procedures	
CG-MED-35	Retinal Telescreening Systems	
CG-MED-37	Intensive Programs for Pediatric Feeding Disorders	

<i>Clinical UM Guideline number</i>	<i>Clinical UM Guideline title</i>	<i>New item</i>
CG-MED-38	Inpatient admission for Radiation Therapy for Cervical or Thyroid Cancer	
CG-MED-39	Bone Mineral Density Testing Measurement	
CG-MED-40	External Ambulatory Event Monitors to Detect Cardiac Arrhythmias	
CG-MED-41	Moderate to Deep Anesthesia Services for Dental Surgery in the Facility Setting	
CG-MED-42	Maternity Ultrasound in the Outpatient Setting	
CG-MED-44	Holter Monitors	
CG-MED-45	Transrectal Ultrasonography	
CG-MED-46	Electroencephalography and Video Electroencephalographic Monitoring	
CG-MED-47	Fundus Photography	
CG-MED-48	Scrotal Ultrasound	
CG-MED-49	Auditory Brainstem Responses (ABRs) and Evoked Otoacoustic Emissions (OAEs) for Hearing Disorders	
CG-MED-50	Visual, Somatosensory and Motor Evoked Potentials	
CG-MED-51	Three-Dimensional (3-D) Rendering of Imaging Studies	
CG-MED-52	Allergy Immunotherapy (Subcutaneous)	
CG-MED-53	Cervical Cancer Screening Using Cytology and Human Papillomavirus Testing	
CG-MED-54	Strapping	
CG-MED-55	Level of Care: Advanced Radiologic Imaging	
CG-MED-56	Non-Obstetrical Transvaginal Ultrasonography	
CG-MED-57	Cardiac Stress Testing with Electrocardiogram	
CG-MED-59	Upper Gastrointestinal Endoscopy in Adults	
CG-MED-61	Preoperative Testing for Low Risk Invasive Procedures and Surgeries	
CG-MED-62	Resting Electrocardiogram Screening in Adults	
CG-MED-63	Treatment of Hyperhidrosis	
CG-MED-64	Transcatheter Ablation of Arrhythmogenic Foci in the Pulmonary Veins as a Treatment of Atrial Fibrillation or Atrial Flutter (Radiofrequency and Cryoablation)	
CG-MED-65	Manipulation Under Anesthesia	
CG-MED-66	Cryopreservation of Oocytes or Ovarian Tissue	
CG-MED-68	Therapeutic Apheresis	
CG-MED-69	Inhaled Nitric Oxide	
CG-MED-70	Wireless Capsule Endoscopy for Gastrointestinal Image and the Patency Capsule	
CG-MED-71	Chronic Wound Care in the Home or Outpatient Setting	
CG-MED-72	Hyperthermia for Cancer Therapy	
CG-MED-73	Hyperbaric Oxygen Therapy (Systemic/Topical)	

<i>Clinical UM Guideline number</i>	<i>Clinical UM Guideline title</i>	<i>New item</i>
CG-MED-74	Implantable Ambulatory Event Monitors and Mobile Cardiac Telemetry	
CG-MED-75	Medical and Other Non-Behavioral Health Related Treatments for Autism Spectrum Disorders and Rett Syndrome	
CG-MED-76	Magnetic Source Imaging and Magnetoencephalography	
CG-MED-77	SPECT/CT Fusion Imaging	
CG-MED-78	Anesthesia Services for Interventional Pain Management Procedures	
CG-MED-79	Diaphragmatic/Phrenic Nerve Stimulation and Diaphragm Pacing Systems	
CG-MED-81	High Intensity Focused Ultrasound (HIFU) for Oncologic Indications	
CG-MED-83	Level of Care: Specialty Pharmaceuticals	
CG-MED-84	Non-Obstetric Gynecologic Duplex Ultrasonography of the Abdomen and Pelvis in the Outpatient Setting	
CG-MED-85	Posterior Segment Optical Coherence Tomography	
CG-MED-86	Enhanced External Counterpulsation in the Outpatient Setting	
CG-MED-87	Single Photon Emission Computed Tomography Scans for Noncardiovascular Indications	
CG-MED-88	Preimplantation Genetic Diagnosis Testing	
CG-OR-PR-02	Prefabricated and Prophylactic Knee Braces	
CG-OR-PR-03	Custom-made Knee Braces	
CG-OR-PR-04	Cranial Remodeling Bands and Helmets (Cranial Orthotics)	
CG-OR-PR-05	Myoelectric Upper Extremity Prosthesis Devices	
CG-OR-PR-06	Spinal Orthoses: Thoracic-Lumber-Sacral (TLSO), Lumbar-Sacral (LSO), and Lumber	
CG-REHAB-02	Outpatient Cardiac Rehabilitation	
CG-REHAB-03	Pulmonary Rehabilitation	
CG-REHAB-04	Rehabilitative and Habilitative Services: Physical Medicine/Physical Therapy	
CG-REHAB-05	Rehabilitative and Habilitative Services: Occupational Therapy	
CG-REHAB-06	Rehabilitative and Habilitative Services: Speech-Language Pathology	
CG-REHAB-07	Skilled Nursing and Skilled Rehabilitation Services (Outpatient)	
CG-REHAB-08	Private Duty Nursing in the Home Setting	
CG-REHAB-10	Level of Care: Outpatient Physical Therapy, Occupational Therapy and Speech-Language Pathology Services	
CG-REHAB-11	Cognitive Rehabilitation	
CG-SURG-01	Colonoscopy	
CG-SURG-03	Blepharoplasty, Blepharoptosis Repair and Brow Lift	
CG-SURG-05	Maze Procedure	

<i>Clinical UM Guideline number</i>	<i>Clinical UM Guideline title</i>	<i>New item</i>
CG-SURG-07	Vertical Expandable Prosthetic Titanium Rib	
CG-SURG-08	Sacral Nerve Stimulation as a Treatment of Neurogenic Bladder Secondary to Spinal Cord Injury	
CG-SURG-09	Temporomandibular Disorders	
CG-SURG-10	Ambulatory or Outpatient Surgery Center Procedures	
CG-SURG-11	Surgical Treatment for Dupuytren's Contracture	
CG-SURG-12	Penile Prosthesis Implantation	
CG-SURG-15	Endometrial Ablation	
CG-SURG-17	Trigger Point Injections	
CG-SURG-18	Septoplasty	
CG-SURG-24	Functional Endoscopic Sinus Surgery (FESS)	
CG-SURG-25	Injection Treatment for Morton's Neuroma	
CG-SURG-27	Gender Reassignment Surgery	
CG-SURG-28	Transcatheter Uterine Artery Embolization	
CG-SURG-29	Lumbar Discography	
CG-SURG-30	Tonsillectomy for Children with or without Adenoidectomy	
CG-SURG-31	Treatment of Keloids and Scar Revision	
CG-SURG-34	Diagnostic Infertility Surgery	
CG-SURG-35	Intracytoplasmic Sperm Injection (ICSI)	
CG-SURG-36	Adenoidectomy	
CG-SURG-37	Destruction of Pre-Malignant Skin Lesions	
CG-SURG-40	Cataract Removal Surgery for Adults	
CG-SURG-41	Surgical Strabismus Correction	
CG-SURG-46	Myringotomy and Tympanostomy Tube Insertion	
CG-SURG-49	Endovascular Techniques (Percutaneous or Open Exposure) for Arterial Revascularization of the Lower Extremities	
CG-SURG-50	Assistant Surgeons	
CG-SURG-51	Outpatient Cystourethroscopy	
CG-SURG-52	Level of Care: Hospital-Based Ambulatory Surgical Procedures and Endoscopic Services	
CG-SURG-55	Intracardiac Electrophysiological Studies (EPS) and Catheter Ablation	
CG-SURG-56	Diagnostic Fiberoptic Flexible Laryngoscopy	
CG-SURG-57	Diagnostic Nasal Endoscopy	
CG-SURG-58	Radioactive Seed Localization of Nonpalpable Breast Lesions	
CG-SURG-59	Vena Cava Filters	
CG-SURG-61	Cryosurgical or Radiofrequency Ablation to Treat Solid Tumors Outside the Liver	
CG-SURG-63	Cardiac Resynchronization Therapy with or without an Implantable Cardioverter Defibrillator for the Treatment of Heart Failure	
CG-SURG-70	Gastric Electrical Stimulation	
CG-SURG-71	Reduction Mammoplasty	

<i>Clinical UM Guideline number</i>	<i>Clinical UM Guideline title</i>	<i>New item</i>
CG-SURG-72	Endothelial Keratoplasty	
CG-SURG-73	Balloon Sinus Ostial Dilation	
CG-SURG-74	Total Ankle Replacement	
CG-SURG-75	Transanal Endoscopic Microsurgical (TEM) Excision of Rectal Lesions	
CG-SURG-76	Carotid, Vertebral and Intracranial Artery Stent Placement with or without Angioplasty	
CG-SURG-77	Refractive Surgery	
CG-SURG-78	Locoregional and Surgical Techniques for Treating Primary and Metastatic Liver Malignancies	
CG-SURG-79	Implantable Infusion Pumps	
CG-SURG-81	Cochlear Implants and Auditory Brainstem Implants	
CG-SURG-82	Bone-Anchored and Bone Conduction Hearing Aids	
CG-SURG-83	Bariatric Surgery and Other Treatments for Clinically Severe Obesity	
CG-SURG-84	Mandibular/Maxillary (Orthognathic) Surgery	
CG-SURG-85	Hip Resurfacing	
CG-SURG-86	Endovascular/Endoluminal Repair of Aortic Aneurysms, Aortoiliac Disease, Aortic Dissection and Aortic Transection	
CG-SURG-87	Nasal Surgery for the Treatment of Obstructive Sleep Apnea and Snoring	
CG-SURG-88	Mastectomy for Gynecomastia	
CG-SURG-89	Radiofrequency Neurolysis and Pulsed Radiofrequency Therapy for Trigeminal Neuralgia	
CG-SURG-90	Mohs Micrographic Surgery	
CG-SURG-91	Minimally Invasive Ablative Procedures for Epilepsy	
CG-SURG-92	Paraesophageal Hernia Repair	
CG-SURG-93	Angiographic Evaluation and Endovascular Intervention for Dialysis Access Circuit Dysfunction	
CG-SURG-94	Keratoprosthesis	
CG-SURG-95	Sacral Nerve Stimulation and Percutaneous Tibial Nerve Stimulation for Urinary and Fecal Incontinence; Urinary Retention	
CG-SURG-96	Intraocular Telescope	
CG-SURG-97	Cardioverter Defibrillators	
CG-SURG-98	Prostate Biopsy using MRI Fusion Techniques	
CG-SURG-99	Panniculectomy and Abdominoplasty	
CG-SURG-100	Laser Trabeculoplasty and Laser Peripheral Iridotomy	
CG-SURG-101	Ablative Techniques as a Treatment for Barrett's Esophagus	
CG-SURG-102	Alcohol Septal Ablation for Treatment of Hypertrophic Cardiomyopathy	
CG-SURG-103	Male Circumcision	
CG-SURG-104	Intraoperative Neurophysiological Monitoring	

<i>Clinical UM Guideline number</i>	<i>Clinical UM Guideline title</i>	New item
CG-SURG-105	Corneal Collagen Cross-Linking	
CG-SURG-106	Venous Angioplasty with or without Stent Placement or Venous Stenting Alone	
CG-SURG-107	Surgical and Minimally Invasive Treatments for Benign Prostatic Hyperplasia (BPH)	NEW
CG-SURG-108	Stereotactic Radiofrequency Pallidotomy	NEW
CG-THER-RAD-07	Intravascular Brachytherapy (Coronary and Non-Coronary)	
CG-TRANS-02	Kidney Transplantation	
CG-TRANS-03	Donor Lymphocyte Infusion for Hematologic Malignancies after Allogeneic Hematopoietic Progenitor Cell Transplantation	